OVERVIEW
Extracorporeal shock wave therapy (ESWT) is a noninvasive method that may be used to treat pain using shock waves or sound waves that are directed from outside the body onto the area to be treated, e.g., the heel in the case of plantar fasciitis. Shock waves may be generated at high- or low-energy intensity, and treatment protocols may include more than one treatment. ESWT has been investigated for use in a variety of musculoskeletal conditions.

MEDICAL CRITERIA
Not applicable

PRIOR AUTHORIZATION
Not applicable

POLICY STATEMENT
BlueChIP for Medicare
Extracorporeal shock wave therapy, using either a high- or low-dose protocol or radial ESWT, is not covered as a treatment of musculoskeletal conditions, including but not limited to plantar fasciitis; tendinopathies including tendinitis of the shoulder, tendinitis of the elbow (lateral epicondylitis), Achilles tendinitis, and patellar tendinitis; spasticity; stress fractures; delayed union and nonunion of fractures; and avascular necrosis of the femoral head, as the evidence is insufficient to determine the effects of the technology on health outcomes.

Commercial Products
Extracorporeal shock wave therapy, using either a high- or low-dose protocol or radial ESWT, is considered not medically necessary as a treatment of musculoskeletal conditions, including but not limited to plantar fasciitis; tendinopathies including tendinitis of the shoulder, tendinitis of the elbow (lateral epicondylitis), Achilles tendinitis, and patellar tendinitis; spasticity; stress fractures; delayed union and nonunion of fractures; and avascular necrosis of the femoral head, as the evidence is insufficient to determine the effects of the technology on health outcomes.

COVERAGE
Benefits may vary between groups and contracts. Please refer to the appropriate Benefit Booklet, Evidence of Coverage, or Subscriber Agreement for applicable not medically necessary/not covered benefits/coverage.

BACKGROUND
CHRONIC MUSCULOSKELETAL CONDITIONS
Chronic musculoskeletal conditions (e.g., tendinitis) can be associated with a substantial degree of scarring and calcium deposition. Calcium deposits may restrict motion and encroach on other structures, such as nerves and blood vessels, causing pain and decreased function. One hypothesis is that disruption of calcific deposits by shock waves may loosen adjacent structures and promote resorption of calcium, thereby decreasing pain and improving function.

Plantar Fasciitis
Plantar fasciitis is a very common ailment characterized by deep pain in the plantar aspect of the heel, particularly on arising from bed. While the pain may subside with activity, in some patients the pain may persist, interrupting activities of daily living. On physical examination, firm pressure will elicit a tender spot over the medial tubercle of the calcaneus. The exact etiology of plantar fasciitis is unclear, although repetitive injury is suspected. Heel spurs are a common associated finding, although it has never been proven that heel spurs cause the pain and asymptomatic heel spurs can be found in up to 10% of the population.

**Tendinitis and Tendinopathies**

Common tendinitis and tendinopathy syndromes are:

- Lateral epicondylitis (“tennis elbow”)
- Shoulder tendinopathy
- Achilles tendinopathy
- Patellar tendinopathy (“jumper’s knee”)

**Fracture Nonunion and Delayed Union**

The following criteria are used to define fracture nonunion:

- At least 3 months have passed since the date of fracture;
- Serial radiographs have confirmed that no progressive signs of healing have occurred;
- The fracture gap is 1 cm or less; and
- The patient can be adequately immobilized and is of an age likely to comply with non-weight bearing.

Delayed union can be defined as a decelerating healing process, as determined by serial radiographs, together with a lack of clinical and radiologic evidence of union, bony continuity, or bone reaction at the fracture site for no less than 3 months from the index injury or the most recent intervention. (In contrast, nonunion serial radiographs show no evidence of healing.)

**Other Musculoskeletal and Neurologic Conditions**

Other musculoskeletal conditions include medial tibial stress syndrome, osteonecrosis (avascular necrosis) of the femoral head, coccydynia, and painful stump neuromas. Neurologic conditions include spasticity, which refers to a motor disorder characterized by increased velocity-dependent stretch reflexes. It is one characteristic of upper motor neuron dysfunction, which may be due to a variety of pathologies.

**Treatment**

Most cases of plantar fasciitis are treated with conservative therapy, including rest or minimization of running and jumping, heel cups, and nonsteroidal-anti-inflammatory drugs. Local steroid injection may also be used. Improvement may take up to 1 year in some cases. For tendinitis and tendinopathy syndromes, conservative treatment often involves rest, activity modifications, physical therapy, and anti-inflammatory medications.

**Extracorporeal Shock Wave Therapy**

Also known as orthotripsy, extracorporeal shock wave therapy (ESWT) has been available since the early 1980s for the treatment of renal stones and has been widely investigated for the treatment of biliary stones. ESWT uses externally-applied shock waves to create a transient pressure disturbance, which disrupts solid structures, breaking them into smaller fragments, thus allowing spontaneous passage and/or removal of stones. The mechanism by which ESWT might have an effect on musculoskeletal conditions is not well-defined.

Other mechanisms are also thought to be involved in ESWT. Physical stimuli are known to activate endogenous pain control systems, and activation by shock waves may “reset” the endogenous pain receptors. Damage to endothelial tissue from ESWT may result in increased vessel wall permeability, causing increased diffusion of cytokines, which may in turn promote healing. Microtrauma induced by ESWT may promote
angiogenesis and thus aid in healing. Finally, shock waves have been shown to stimulate osteogenesis and promote callous formation in animals, which is the rationale for trials of ESWT in delayed union or nonunion of bone fractures.

There are 2 types of ESWT: focused and radial. Focused ESWT sends medium- to high-energy shockwaves of single pressure pulses lasting microseconds, directed on a specific target using ultrasound or radiographic guidance. Radial ESWT (RSW) transmits low- to medium-energy shockwaves radially over a larger surface area. The Food and Drug Administration (FDA) approval was first granted in 2002 for focused ESWT devices and in 2007 for RSW devices.

Currently, 6 focused ESWT devices have been approved by FDA through the premarket approval process for orthopedic use:

- **OssaTron**® device (HealthTronics) - Approval date: 2000. Delivery system: Electrohydraulic. Indications: chronic proximal plantar fasciitis, i.e., pain persisting >6 months and not responding to conservative management; lateral epicondylitis
- **SONOCUR**® Basic (Siemens) - Approval date: 2002. Delivery system: Electromagnetic. Indications: chronic lateral epicondylitis (unresponsive to conservative therapy for >6 months)
- **Orbasone™ Pain Relief System** (Orthometrix) - Approval date: 2005. Delivery system: High-energy sonic wave. Indications: Chronic proximal plantar fasciitis in patients ≥18 years of age.
- **Duolith® SD1 Shock Wave Therapy Device** (Storz Medical AG) - Approval date: 2016. Delivery system: Electromagnetic. Indications: Chronic proximal plantar fasciitis in patients ≥18 years of age with history of failed alternative conservative therapies >6 mo

Both high-dose and low-dose protocols have been investigated. A high-dose protocol consists of a single treatment of high-energy shock waves (1300mJ/mm²). This painful procedure requires anesthesia and is performed in a hospital or ambulatory surgery center. A low-dose protocol consists of multiple treatments, spaced one week to one month apart, in which a lower dose of shock waves is applied. This protocol does not require anesthesia and is usually used in the office. The FDA-labeled indication for the OssaTron and Epos Ultra devices specifically describes a high-dose protocol, while the labeled indication for the SONOCUR device describes a low-dose protocol.

In 2007, Dolorclast® (EMS Electro Medical Systems), a radial ESWT, was approved by FDA through the premarket approval process. Radial ESWT is generated ballistically by accelerating a bullet to hit an applicator, which transforms the kinetic energy into radially expanding shock waves. Radial ESWT is described as an alternative to focused ESWT and is said to address larger treatment areas, thus providing potential advantages in superficial applications like tendinopathies. The FDA-approved indication is for the treatment of patients 18 years and older with chronic proximal plantar fasciitis and a history of unsuccessful conservative therapy.

The evidence is insufficient to determine the effects of extracorporeal shock wave therapy on health outcomes for the following individuals who have:

- plantar fasciitis
- lateral epicondylitis
- shoulder tendinopathy
- Achilles tendinopathy
- patellar tendinopathy
medial tibial stress syndrome
osteonecrosis of the femoral head
nonunion or delayed union
spasticity

CODING
The following CPT codes are not covered for BlueCHiP for Medicare and not medically necessary for Commercial Products:

28890 Extracorporeal shock wave, high energy, performed by a physician or other qualified health care professional, requiring anesthesia other than local, including ultrasound guidance, involving the plantar fascia.
0101T Extracorporeal shock wave involving musculoskeletal system, not otherwise specified; high energy
0102T Extracorporeal shock wave, high energy, performed by a physician, requiring anesthesia other than local, involving lateral humeral epicondyle

There is no specific CPT code for low-energy or radial ESWT. The unlisted CPT code for general musculoskeletal procedure (20999) should be used.

RELATED POLICIES
BlueCHiP for Medicare National and Local Coverage Determinations
New Technology

PUBLISHED
Provider Update, 2020
Provider Update, January 2019
Provider Update, January 2018
Provider Update, January 2017
Provider Update, August 2015

REFERENCES


12. Greve JM, Grecco MV, Santos-Silva PR. Comparison of radial shockwaves and conventional physiotherapy for treating plantar fasciitis. Clinics (Sao Paulo). Feb 2009;64(2):97-103. PMID 19219314


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