

## DRAFT Medical Coverage Policy | Tumor-Informed Circulating Tumor DNA Testing for Cancer Management



**EFFECTIVE DATE:** 11|01|2022

**POLICY LAST UPDATED:** 07|14|2022

### OVERVIEW

The purpose of tumor-informed circulating tumor DNA (ctDNA) testing in individuals with cancer is to predict disease course to inform treatment decisions and to monitor for recurrence following treatment.

### MEDICAL CRITERIA

Not applicable

### PRIOR AUTHORIZATION

#### Medicare Advantage Plans and Commercial Products

There is no specific CPT code for this service and an Unlisted CPT code should be used (See Coding Section for details). All Unlisted genetic testing CPT codes require prior authorization to determine what service is being rendered and if the service is covered or not medically necessary. See the Related Policies section.

Prior authorization is required for Medicare Advantage Plans and recommended for Commercial Products and is obtained via the online tool for participating providers. See the Related Policies section.

**Note:** Laboratories are not allowed to obtain clinical authorization or participate in the authorization process on behalf of the ordering physician. Only the ordering physician shall be involved in the authorization, appeal or other administrative processes related to prior authorization/medical necessity.

In no circumstance shall a laboratory or a physician/provider use a representative of a laboratory or anyone with a relationship to a laboratory and/or a third party to obtain authorization on behalf of the ordering physician, to facilitate any portion of the authorization process or any subsequent appeal of a claim where the authorization process was not followed and/or a denial for clinical appropriateness was issued, including any element of the preparation of necessary documentation of clinical appropriateness. If a laboratory or a third party is found to be supporting any portion of the authorization process, BCBSRI will deem the action a violation of this policy and severe action will be taken up to and including termination from the BCBSRI provider network. If a laboratory provides a laboratory service that has not been authorized, the service will be denied as the financial liability of the participating laboratory and may not be billed to the member.

### POLICY STATEMENT

#### Medicare Advantage Plans

Tumor-informed circulating tumor DNA testing (e.g., Signatera) is not covered for all indications as the evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

#### Commercial Products

Tumor-informed circulating tumor DNA testing (e.g., Signatera) is not medically necessary for all indications as the evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

### COVERAGE

Benefits may vary between groups/contracts. Please refer to the Benefit Booklet, Evidence of Coverage or Subscriber Agreement for applicable not medically necessary/not covered benefits/coverage

## BACKGROUND

The purpose of tumor-informed ctDNA testing in individuals with cancer is to predict disease course to inform treatment decisions and to monitor for recurrence following treatment.

Signatera is a tumor-specific ctDNA test. Tumor tissue obtained from either a diagnostic biopsy or surgically resected tissue is used to identify 16 single nucleotide variants found in the tumor but not in normal tissue and are likely to be present in all tumor cells regardless of tumor evolution. A custom assay of 16 tumor-specific clonal, somatic variants is generated for the individual and the resulting tumor signature can be monitored throughout the individual's disease course. When the test is used for detection of recurrence following curative treatment, plasma samples with 2 or more out of these 16 variants detected above a predefined confidence threshold are deemed to be ctDNA-positive. When the test is used to monitor treatment response, evaluation is based on whether ctDNA levels increase or decrease from a baseline measurement. The test is intended to be used in conjunction with radiological assessment.

Signatera is a laboratory developed test regulated under CLIA. Signatera has been developed and its performance characteristics determined by Natera, the CLIA-certified laboratory performing the test. The test has not been cleared or approved by the US Food and Drug Administration (FDA), but has received 3 Breakthrough Device Designations from FDA:

- In May 2019, Signatera was granted a BDD for the detection of ctDNA in localized or advanced colorectal cancer patients to optimize the use of chemotherapy alone or in combination with durvalumab.
- A March 2021 press release announced that FDA granted 2 additional Breakthrough Device Designations covering new intended uses.

For individuals with colorectal cancer (CRC) who receive tumor-informed ctDNA testing with Signatera to guide treatment decisions and monitor for recurrence, the evidence includes 3 noncomparative studies (N = 410) and 1 retrospective comparative study (N = 48). Relevant outcomes are overall survival, disease-specific survival, test validity, other test performance measures, change in disease status, morbid events, functional outcomes, health status measures, quality of life, and treatment-related mortality. Nonrandomized studies have reported an association between ctDNA results measured at diagnosis, following surgery, during adjuvant therapy, and during surveillance after curative treatment and prognosis, but these studies are limited by a lack of comparison to tests used for the same purpose, imprecise estimates due to small sample sizes, and clinical heterogeneity of study populations. No study reported management changes made in response to ctDNA test results. A retrospective observational study found no advantage to surveillance with Signatera compared to standard surveillance conducted according to National Comprehensive Cancer Network (NCCN) guidelines ( $p > .99$  for sensitivity and specificity compared to imaging). There is no direct evidence that the use of the test improves health outcomes, and indirect evidence is not sufficient to draw conclusions about clinical validity. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals with breast cancer who receive tumor-informed ctDNA testing with Signatera to guide treatment decisions and monitor for recurrence, the evidence includes 2 noncomparative studies (N = 133). Relevant outcomes are overall survival, disease-specific survival, test validity, other test performance measures, change in disease status, morbid events, functional outcomes, health status measures, quality of life, and treatment-related mortality. One study evaluated Signatera testing for disease surveillance following primary treatment, and 1 reported the association of test results at different timepoints with response to neoadjuvant chemotherapy. Although the studies found an association of test results with prognosis, the studies are limited by a lack of comparison to tests used for the same purpose, imprecise estimates due to small sample sizes, and clinical heterogeneity of study populations. No study reported management changes made in response to ctDNA test results. There is no direct evidence that the use of the test improves health

outcomes, and indirect evidence is not sufficient to draw conclusions about clinical validity. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals with bladder cancer who receive tumor-informed ctDNA testing with Signatera to guide treatment decisions and monitor for recurrence, the evidence includes 1 uncontrolled prospective cohort study (N = 68) and 1 retrospective subgroup analysis from a RCT (N = 581). Relevant outcomes are overall survival, disease-specific survival, test validity, other test performance measure, change in disease status, morbid events, functional outcomes, health status measures, quality of life, and treatment-related mortality. The prospective study reported an association between Signatera test results at diagnosis, during chemotherapy treatment, and during surveillance following cystectomy to prognosis. The retrospective analysis reported an association between test results and response to atezolizumab treatment. Study limitations, including a lack of comparison to tests used for the same purpose preclude drawing conclusions about clinical validity and usefulness. No study reported management changes made in response to ctDNA test results. There is no direct evidence that the use of the test improves health outcomes, and indirect evidence is not sufficient to draw conclusions about clinical validity. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals with non-small cell lung cancer (NSCLC) who receive tumor-informed ctDNA testing with Signatera to guide treatment decisions and monitor for recurrence, the evidence includes 1 subgroup analysis of participants enrolled in a prospective observational study (N = 24). Relevant outcomes are overall survival, disease-specific survival, test validity, other test performance measures, change in disease status, morbid events, functional outcomes, health status measures, quality of life, and treatment-related mortality. Of 14 individuals with confirmed relapse, 13 (93%) had a positive ctDNA test (defined as at least 2 single-nucleotide variants detected). Of 10 individuals with no relapse after a median follow up of 775 days, (range 688 to 945 days), 1 had a positive ctDNA test (10%). This study's small sample size and lack of a comparator preclude drawing conclusions about clinical validity. There is no direct evidence that the use of the test improves health outcomes, and indirect evidence is not sufficient to draw conclusions about clinical validity. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals with esophageal cancer who receive tumor-informed ctDNA testing with Signatera to guide treatment decisions and monitor for recurrence, the evidence includes 1 noncomparative, retrospective study (N = 17). Relevant outcomes are overall survival, disease-specific survival, test validity, other test performance measure, change in disease status, morbid events, functional outcomes, health status measures, quality of life, and treatment-related mortality. Patients who were ctDNA-positive before surgery had significantly poorer disease-free survival (DFS) ( $p < .042$ ), with a median DFS of 32.0 months versus 63.0 months in ctDNA-negative preoperative patients. This study was limited by its small sample size and retrospective design. There is no direct evidence that the use of the test improves health outcomes. Due to the study's limitations and lack of additional supporting studies, the evidence is not sufficient to draw conclusions on clinical validity. Additionally, the management pathway for Signatera testing in esophageal cancer has not been clearly defined. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals with solid tumors who receive tumor-informed ctDNA testing with Signatera to monitor response to immunotherapy, the evidence includes a subgroup analysis of individuals enrolled in a nonrandomized trial of pembrolizumab (N = 106). Relevant outcomes are overall survival, disease-specific survival, test validity, other test performance measures, change in disease status, morbid events, functional outcomes, health status measures, quality of life, and treatment-related mortality. The subgroup analysis evaluated Signatera testing to monitor response to immunotherapy in individuals with advanced solid tumors who were enrolled in a Phase II clinical trial of pembrolizumab. Lower-than-median ctDNA levels at baseline were associated with improved overall survival (adjusted hazard ratio [HR] 0.49, 95% CI 0.29 to 0.83) and progression free survival (adjusted HR 0.54, 95% CI 0.34 to 0.85). The study was limited by a small sample size, variability in results across different tumor types, and lack of a comparison to standard methods of

monitoring response to treatment. There is no direct evidence that the use of the test improves health outcomes, and indirect evidence is not sufficient to draw conclusions about clinical validity. Additionally, the management pathway for Signatera testing for monitoring response to immunotherapy has not been clearly defined. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

## **CODING**

### **Medicare Advantage Plans and Commercial Products**

There is no specific CPT code for Signatera. It would likely be reported with the unlisted molecular pathology procedure code 81479.

## **RELATED POLICIES**

Genetic Testing Services

## **PUBLISHED**

Provider Update, September 2022

## **REFERENCES**

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