Medical Coverage Policy | Circulating Tumor DNA and Circulating Tumor Cells for Cancer Management (Liquid Biopsy)

EFFECTIVE DATE: 12|01|2016
POLICY LAST UPDATED: 09|06|2016

OVERVIEW
Circulating tumor DNA (ctDNA) and circulating tumor cells (CTCs) in peripheral blood, referred to as "liquid biopsy," potentially offer a noninvasive alternative to tissue biopsy for therapeutic decisions and clinical prognosis in patients with cancer.

MEDICAL CRITERIA
Not applicable

PRIOR AUTHORIZATION
Not applicable

POLICY STATEMENT
BlueCHiP for Medicare and Commercial Products
The use of circulating tumor DNA and circulating tumor cells is considered not medically necessary for all indications as there is insufficient peer-reviewed literature that demonstrates that the service is effective.

COVERAGE
Benefits may vary between groups/contracts. Please refer to the appropriate Benefit Booklet, Evidence of Coverage, or Subscriber Agreement for limitations of benefits/coverage when services are not medically necessary.

BACKGROUND
Liquid biopsy refers to analysis of circulating tumor DNA (ctDNA) or circulating tumor cells (CTCs) as a method of noninvasively characterizing tumors and tumor genome from the peripheral blood.

Circulating Tumor DNA
Normal and tumor cells release small fragments of DNA into the blood, which is referred to as cell-free DNA (cfDNA). cfDNA from nonmalignant cells is released by apoptosis. Most cell-free tumor DNA is derived from apoptotic and/or necrotic tumor cells, either from the primary tumor, metastases, or CTCs. Unlike apoptosis, necrosis is considered a pathologic process, and generates larger DNA fragments due to an incomplete and random digestion of genomic DNA. The length or integrity of the circulating DNA can potentially distinguish between apoptotic and necrotic origin. ctDNA can be used for genomic characterization of the tumor.

Circulating Tumor Cells
Intact CTCs are released from a primary tumor and/or a metastatic site into the bloodstream. The half-life of a CTC in the bloodstream is short (1-2 hours), and CTCs are cleared through extravasation into secondary organs. Most assays detect CTCs through the use of surface epithelial markers such as EpCAM and cytokeratins. The primary reason for in detecting CTCs is prognostic, through quantification of circulating levels.

Technologies for Detecting ctDNA and CTCs
Detection of ctDNA is challenging because ctDNA is diluted by nonmalignant circulating DNA and usually represents a small fraction (<1%) of total cfDNA. Therefore, more sensitive methods than the standard

sequencing approaches (e.g., Sanger sequencing) are needed. Highly sensitive and specific methods have been developed to detect ctDNA, for both single-nucleotide mutations (e.g. BEAMing [which combines emulsion polymerase chain reaction (PCR) with magnetic beads and flow cytometry] and digital PCR) and copy-number changes. Digital genomic technologies allow for enumeration of rare mutant variants in complex mixtures of DNA.

Approaches to detecting ctDNA can be considered targeted, which includes the analysis of known genetic mutations from the primary tumor in a small set of frequently occurring driver mutations, which can impact therapy decisions (e.g., *EGFR* and *ALK* in non-small-cell lung cancer), or untargeted without knowledge of specific mutations present in the primary tumor, and include array comparative genomic hybridization, next-generation sequencing, and whole exome and genome sequencing.

CTC assays usually start with an enrichment step that increases the concentration of CTCs, either on the basis of biologic properties (expression of protein markers) or physical properties (size, density, electric charge). CTCs can then be detected using immunologic, molecular, or functional assays.

For individuals who have cancer who receive molecular characterization of tumor using ctDNA, the evidence includes case series and systematic reviews of these case series. Relevant outcomes are overall survival, disease-specific survival, test accuracy and validity, morbid events, and medication use. Ultrasensitive methods to detect mutations from ctDNA have been developed, but there is limited evidence on the analytic validity of these methods. There is a need for further optimization and standardization of testing methods. Clinical validity consists of case series that report correlations between mutations detected in ctDNA with mutations detected in tumor tissue. Results have shown variable results for clinical sensitivity. Although some reports have suggested that clinical sensitivity may be high, this finding has not been consistent. Published studies have consistently reported high clinical specificity; however, most study population have consisted of small and heterogeneous, and it is not known to what degree mutations detected by ctDNA are representative of the primary tumor. Published studies reporting clinical outcomes and/or clinical utility are lacking. The uncertainties concerning clinical validity and clinical utility preclude conclusions about whether mutation analysis by ctDNA can replace mutation analysis in tissue. The evidence is insufficient to determine the effects of the technology on health outcomes.

For individuals who have cancer or are at high risk of developing cancer who receive identification and quantification of CTCs, the evidence includes case series and meta-analyses of these case series. Relevant outcomes are overall survival, disease-specific survival, and test accuracy and test validity. Published data on analytic validity are lacking. Most of the literature consists of reports of levels of CTCs and cancer prognosis, and have shown a correlation with survival in certain cancer types. However, the cutoff levels that should be used to signal a change in patient management are unknown, and there are no studies showing clinical utility and improved patient outcomes. The evidence is insufficient to determine the effects of the technology on health outcomes.

CODING

BlueCHiP for Medicare and Commercial Products

The following codes should be reported and are considered not medically necessary:

86152 86153

RELATED POLICIES

None

PUBLISHED

Provider Update, November 2016

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