Medical Coverage Policy | In Vitro

Chemoresistance and Chemosensitivity Assays



EFFECTIVE DATE: 09/04/2007 **POLICY LAST UPDATED**: 08/05/2014

OVERVIEW

In vitro chemoresistance and chemosensitivity assays have been investigated as a means of predicting tumor response to various chemotherapies. These assays have been used by oncologists to select chemotherapy regimens for an individual patient. This policy documents the coverage determination for the use of assay tests to predict tumor response to various chemotherapies.

PRIOR AUTHORIZATION

Not Applicable

POLICY STATEMENT

BlueCHiP for Medicare and Commercial

In vitro chemosensitivity assays and chemoresistance assays are considered not medically necessary as the data are insufficient to determine whether use of the tests to select chemotherapy regimens for individual patients will improve outcomes.

MEDICAL CRITERIA

None

BACKGROUND

A variety of chemosensitivity and chemoresistance assays have been clinically evaluated in human trials. All assays use characteristics of cell physiology to distinguish between viable and non-viable cells to quantify cell kill following exposure to a drug of interest. With few exceptions, drug doses used in the assays are highly variable depending on tumor type and drug class, but all assays require drug exposures ranging from several-fold below physiological relevance to several-fold above physiological relevance. Although a variety of assays exist to examine chemosensitivity or chemoresistance, only a few are commercially available. Available assays are outlined as follows:

Methods using differential staining/dve exclusion:

- The Differential Staining Cytotoxicity assay.(1) This assay relies on dye exclusion of live cells after mechanical disaggregation of cells from surgical or biopsy specimens by centrifugation. Cells are then established in culture and treated with the drugs of interest at 3 dose levels; the middle dose is that which could be achieved in therapy; 10-fold lower than the physiologically relevant dose; and, 10-fold higher. Exposure time ranges from 4 to 6 days; then, cells are restained with fast green dye and counterstained with hematoxylin and eosin (H&E). The fast green dye is taken up by dead cells, and H&E can then differentiate tumor cells from normal cells. The intact cell membrane of a live cell precludes staining with the green dye. Drug sensitivity is measured by the ratio of live cells in the treated samples to the number of live cells in the untreated controls.
- The EVA/PCDTM assay (available from Rational Therapeutics). This assay relies on ex vivo analysis of programmed cell death, as measured by differential staining of cells after apoptotic and nonapoptotic cell death markers in tumor samples exposed to chemotherapeutic agents. Tumor specimens obtained through biopsy or surgical resection are disaggregated using DNAse and collagenase IV to yield tumor

clusters of the desired size (50-100 cell spheroids). Because these cells are not proliferated, these microaggregates are believed to more closely approximate the human tumor microenvironment. These cellular aggregates are treated with the dilutions of the chemotherapeutic drugs of interest and incubated for 3 days. After drug exposure is completed, a mixture of Nigrosin B & Fast green dye with glutaraldehyde-fixed avian erythrocytes is added to the cellular suspensions.(2) The samples are then agitated and cytospin-centrifuged and, after air drying, are counterstained with H&E. The end point of interest for this assay is cell death, as assessed by observing the number of cells differentially stained due to changes in cellular membrane integrity.(3)

Methods using incorporation of radioactive precursors by macromolecules in viable cells:

- Tritiated thymine incorporation measures uptake of tritiated thymidine by DNA of viable cells. Using proteases and DNAse to disaggregate the tissue, samples are seeded into single-cell suspension cultures on soft agar. They are then treated with the drug(s) of interest for 4 days. After 3 days, tritiated thymidine is added. After 24 hours of additional incubation, cells are lysed, and radioactivity is quantified and compared with a blank control consisting of cells that were treated with sodium azide. Only cells that are viable and proliferating will take up the radioactive thymidine. Therefore, there is an inverse relationship between update of radioactivity and sensitivity of the cells to the agent(s) of interest.(4)
- The Extreme Drug Resistance assay (EDR®)(5) (commercially available at Exiqon Diagnostics, Tustin, CA) is methodologically similar to the thymidine incorporation assay, using metabolic incorporation of tritiated thymidine to measure cell viability; however, single cell suspensions are not required, so the assay is simpler to perform. Small tissue samples are incubated with the drug(s) of interest for 5 days at doses ranging from 5-fold below to 80-fold above concentrations that would reflect physiologic relevance. Subsequently, tritiated thymidine is added to the culture, and uptake is quantified after various incubation times. Only live (resistant) cells will incorporate the compound. Therefore, the level of tritiated thymidine incorporation is directly related to chemoresistance. The interpretation of the results is unique in that resistance to the drugs is evaluated, as opposed to evaluation of responsiveness. Tumors are considered to be highly resistant when thymidine incorporation is at least 1 standard deviation above reference samples.

Methods to quantify cell viability by colorimetric assay:

• The Histoculture Drug Resistance Assay (HDRA; AntiCancer Inc., San Diego, CA).(6) This assay evaluates cell growth after chemotherapy treatment based on a colorimetric assay that relies on mitochondrial dehydrogenases in living cells. Drug sensitivity is evaluated by quantification of cell growth in the 3-dimensional collagen matrix. There is an inverse relationship between the drug sensitivity of the tumor and cell growth. Concentrations of drug and incubation times are not standardized and vary depending on drug combination and tumor type.

Methods using incorporation of chemoluminescent precursors by macromolecules in viable cells:

- The Adenosine Triphosphate (ATP) Bioluminescence assay. This assay relies on measurement of ATP to quantify the number of viable cells in a culture. Single cells or small aggregates are cultured, and then exposed to drugs. Following incubation with drug, the cells are lysed and the cytoplasmic components are solubilized under conditions that will not allow enzymatic metabolism of ATP. Luciferin and firefly luciferase are added to the cell lysis product. This catalyzes the conversion of ATP to adenosine di- and monophosphate, and light is emitted proportionally to metabolic activity. This is quantified with a luminometer. From the measurement of light, the number of cells can be calculated. A decrease in ATP indicates drug sensitivity, whereas no loss of ATP suggests that the tumor is resistant to the agent of interest.
- ChemoFX® (Precision Therapeutics, Pittsburgh, PA).(7) This assay also relies on quantifying ATP based on chemoluminescence. Cells must be grown in a monolayer rather than in a 3-dimensional matrix.

Methods using differential optical density:

Microculture Kinetic (MiCK) assay (Diatech Oncology, Franklin, TN).(8) Similar to the EVA/PCD assay, this assay relies on measures of programmed cell death. In the assay, tumor cells are exposed to multiple concentrations of drugs and cultured. The optical density of the cells is measured over time, to create a density-by-time curve. A sudden increase in optical density is associated with cell apoptosis; the extent of drug-induced apoptosis is a measure of the cell's sensitivity to that agent.

The rationale for chemosensitivity assays is strongest when there are a variety of therapeutic options and there are no clear selection criteria for any particular regimen in an individual patient.

There are only a few comparative studies that evaluate use of a chemosensitivity assay to select chemotherapy versus standard care, and these studies do not report significant differences in outcomes between groups. A larger number of studies have used correlational designs that evaluate the association between assay results and already known patient outcomes. These studies report that results of chemosensitivity and chemoresistance assays are predictive of outcomes. However, these studies do not evaluate whether these assays lead changes in management and whether any changes in management lead to improved outcomes. In addition, interpretation of these studies is limited by heterogeneity in test methodology, tumor type, patient population, and chemotherapeutic agents. As a result, the clinical utility of chemoresistance and chemosensitivity assays has not been determined, and data are insufficient to determine whether use of the test to select chemotherapy regimens for individual patients will improve outcomes. Therefore, this testing is considered not medically necessary.

COVERAGE

Benefits may vary between groups/contracts. Please refer to the appropriate Evidence of Coverage, Subscriber Agreement for applicable Services Not Medically Necessary coverage/benefits.

CODING

BlueCHiP for Medicare and Commercial

There is no specific CPT code for these assays as the extreme drug resistance assay is a multistep laboratory procedure that might be identified by several codes. Claims should be filed with an unlisted code.

RELATED POLICIES

None

PUBLISHED

Provider Update Oct 2014
Provider Update Dec 2013
Provider Update Aug 2012
Provider Update Sep 2011
Provider Update Nov 2010
Provider Update Oct 2009
Provider Update Oct 2008
Policy Update Nov 2007

REFERENCES

- 1. Nagourney RA, Blitzer JB, Shuman RL et al. Functional profiling to select chemotherapy in untreated, advanced or metastatic non-small cell lung cancer. Anticancer Res. 2012; 32(10):4453-60.
- 2. Nagourney RA. Ex vivo programmed cell death and the prediction of response to chemotherapy. Curr Treat Options Oncol 2006; 7(2):103-10.

- 3. Yung WK. In vitro chemosensitivity testing and its clinical application in human gliomas. Neurosurg. Rev. 1989; 12(3):197-203.
- 4. Kern DH, Weisenthal LM. Highly specific prediction of antineoplastic drug resistance with an in vitro assay using suprapharmacologic drug exposures. J. Natl. Cancer Inst. 1990; 82(7):582-8.
- 5. Anticancer I. HISTOCULTURE DRUG RESPONSE ASSAY HDRA. Available online at: http://www.anticancer.com/HDRA_ref.html. Last accessed March, 2014.
- 6. Therapeutics P. ChemoFx. Available online at: http://www.chemofx.com/index.html. Last accessed March, 2014.
- 7. Oncology D. MiCK Assay. Available online at: http://diatech-oncology.com/MiCK_Assay/. Last accessed March, 2014.
- 8. Loizzi V, Chan JK, Osann K et al. Survival outcomes in patients with recurrent ovarian cancer who were treated with chemoresistance assay-guided chemotherapy. Am. J. Obstet. Gynecol. 2003; 189(5):1301-7.
- 9. Tiersten AD, Moon J, Smith HO et al. Chemotherapy resistance as a predictor of progression-free survival in ovarian cancer patients treated with neoadjuvant chemotherapy and surgical cytoreduction followed by intraperitoneal chemotherapy: a Southwest Oncology Group Study. Oncology 2009; 77(6):395-9.
- 10. Strickland SA, Raptis A, Hallquist A et al. Correlation of the microculture-kinetic drug-induced apoptosis assay with patient outcomes in initial treatment of adult acute myelocytic leukemia. Leuk. Lymphoma 2013; 54(3):528-34.

---- CLICK THE ENVELOPE ICON BELOW TO SUBMIT COMMENTS

This medical policy is made available to you for informational purposes only. It is not a guarantee of payment or a substitute for your medical judgment in the treatment of your patients. Benefits and eligibility are determined by the member's subscriber agreement or member certificate and/or the employer agreement, and those documents will supersede the provisions of this medical policy. For information on member-specific benefits, call the provider call center. If you provide services to a member which are determined to not be medically necessary (or in some cases medically necessary services which are non-covered benefits), you may not charge the member for the services unless you have informed the member and they have agreed in writing in advance to continue with the treatment at their own expense. Please refer to your participation agreement(s) for the applicable provisions. This policy is current at the time of publication; however, medical practices, technology, and knowledge are constantly changing. BCBSRI reserves the right to review and revise this policy for any reason and at any time, with or without notice. Blue Cross & Blue Shield of Rhode Island is an independent licensee of the Blue Cross and Blue Shield Association.

